

# INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULAR SEBI/HO/CFD/DCR2/P/CIR/2021/0661 DATED NOVEMBER 23, 2021

# A. Category Wise Investor Complaint Data

#### 1. Initial Public Offer/Follow on Public Offer including Offer for Sale: Main Board

Data for month ending November 2024 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved During the particular month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|---------------------------------------|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | July, 2024     | Nil                                 | Nil  | Nil   | Nil  |
| 2. | August, 2024   | Nil                                 | Nil  | Nil   | Nil  |
| 3. | September,2024 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | October,2024   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | November, 2024 | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

 ${\it \#Inclusive\ of\ complaints\ pending\ as\ on\ the\ last\ day\ of\ the\ month}.$ 

# Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|-----------|--|-------------------------------------|-------------------------------------|---|
| 1. | 2021      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 2. | 2022      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 3. | 2023      | Nil                                      | Nil                                 | Nil                                 | Nil                                       |
| 4. | 2024      | @  | (a)                                 | @                                   | @   |
| 5. | 2025      | @  | @                                   | @                                   | <u>@</u>                                  |
| Gr | and Total | -  | =                                   | -                                   | -   |

 $@\mathit{The\ relevant\ period\ has\ not\ been\ completed}\\$ 

#### 2. Rights Issue:

#### Data for month ending November 2024 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Nil  |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Nil  |

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | July, 2024     | Nil                                 | Nil  | Nil   | Nil  |
| 2. | August, 2024   | Nil                                 | Nil  | Nil   | Nil  |
| 3. | September,2024 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | October,2024   | Nil                                 | 4  | 4   | Nil  |
| 5. | November, 2024 | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | 4  | 4   | Nil  |

 $<sup>{\</sup>it *Inclusive of complaints of previous months resolved in the current month.}$ 

#Inclusive of complaints pending as on the last day of the month.

## Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|-------------------------------------|--|--|
| 1. | 2021      | Nil                                      | 2                                   | 2                                      | Nil  |
| 2. | 2022      | Nil                                      | 21*                                 | 21*                                    | Nil  |
| 3. | 2023      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 4. | 2024      | (a)                                      | @                                   | @                                      | @  |
| 5. | 2025      | @  | @                                   | @                                      | @  |
| Gr | and Total | -  | =                                   | -                                      | <del>-</del>                                 |

<sup>@</sup>The relevant period has not been completed

• Includes two complaints dated 21.06.2022 and 24.06.2022 of Mr. Dinesh Gupta on the same subject matter.

# 3. Qualified Institutional Placements (QIP):

# Data for month ending November 2024 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | July, 2024     | Nil                                 | Nil  | Nil   | Nil  |
| 2. | August, 2024   | Nil                                 | Nil  | Nil   | Nil  |
| 3. | September,2024 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | October,2024   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | November, 2024 | Nil                                 | Nil  | Nil   | Nil  |
| •  | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|-------------------------------------|--|--|
| 1. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 2. | 2022      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 3. | 2023      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 4. | 2024      | @  | @                                   | @                                      | @  |
| 5. | 2025      | @  | <u>a</u>                            | <u>@</u>                               | <u> </u>                                     |
| Gr | and Total | -  | =                                   | -                                      | -  |

<sup>@</sup>The relevant period has not been completed

# 4. Preferential Issue:

## Data for month ending November 2024 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | July, 2024     | Nil                                 | Nil  | Nil   | Nil  |
| 2. | August, 2024   | Nil                                 | Nil  | Nil   | Nil  |
| 3. | September,2024 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | October,2024   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | November, 2024 | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

 $<sup>{\</sup>it *Inclusive of complaints of previous months resolved in the current month.}$ 

#Inclusive of complaints pending as on the last day of the month.

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|-------------------------------------|--|--|
| 1. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 2. | 2022      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 3. | 2023      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 4. | 2024      | @  | @                                   | @                                      | @  |
| 5. | 2025      | @  | @                                   | @                                      | @  |
| Gr | and Total | -  | -                                   | -                                      | -<br>-                                       |

 $<sup>@\</sup>mathit{The\ relevant\ period\ has\ not\ been\ completed}\\$ 

# 5. Initial Public Offer/ Follow on Public Offer including Offer For Sale: SME

## Data for month ending November 2024 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | 8   | 6  | 2   | Nil                                | 1  |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | 8   | 6  | 2   | Nil                                | 1  |

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | July, 2024     | Nil                                 | Nil  | Nil   | Nil  |
| 2. | August, 2024   | Nil                                 | Nil  | Nil   | Nil  |
| 3. | September,2024 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | October,2024   | Nil                                 | Nil  | Nil   | Nil  |
| 5. | November, 2024 | Nil                                 | 8  | 6   | 2  |
|    | Grand Total    | Nil                                 | 8  | 6   | 2  |

 $<sup>{\</sup>it *Inclusive of complaints of previous months resolved in the current month.}$ 

#Inclusive of complaints pending as on the last day of the month.

| SN | Year      | Carried<br>forward from<br>previous year | Received during<br>the particular year | Resolved during<br>the particular year | Pending at the end of the particular year |
|----|-----------|--|--|--|---|
| 1. | 2021      | Nil                                      | Nil                                    | Nil                                    | Nil                                       |
| 2. | 2022      | Nil                                      | Nil                                    | Nil                                    | Nil                                       |
| 3. | 2023      | Nil                                      | Nil                                    | Nil                                    | Nil                                       |
| 4. | 2024      | @  | @                                      | @                                      | @   |
| 5. | 2025      | @  | @                                      | @                                      | @   |
| Gr | and Total | -  | -                                      | -                                      | _   |

 $<sup>@\</sup>mathit{The\ relevant\ period\ has\ not\ been\ completed}\\$ 

# 6. Buyback of Securities:

# Data for month ending November 2024 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | July, 2024     | Nil                                 | 19   | 19  | Nil  |
| 2. | August, 2024   | Nil                                 | 4  | 4   | Nil  |
| 3. | September,2024 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | October, 2024  | Nil                                 | Nil  | Nil   | Nil  |
| 5. | November, 2024 | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | 23   | 23  | Nil  |

 $<sup>* \</sup> Inclusive \ of \ complaints \ of \ previous \ months \ resolved \ in \ the \ current \ month.$ 

#Inclusive of complaints pending as on the last day of the month.

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|-------------------------------------|--|--|
| 1. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 2. | 2022      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 3. | 2023      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 4. | 2024      | @  | @                                   | @                                      | @  |
| 5. | 2025      | @  | <u>@</u>                            | @                                      | @  |
| Gr | and Total | -  | -                                   | -                                      | -  |

<sup>@</sup>The relevant period has not been completed

# 7. Delisting:

# Data for month ending November 2024 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

# Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | July, 2024     | Nil                                 | Nil  | Nil   | Nil  |
| 2. | August, 2024   | Nil                                 | Nil  | Nil   | Nil  |
| 3. | September,2024 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | October, 2024  | Nil                                 | Nil  | Nil   | Nil  |
| 5. | November, 2024 | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|-------------------------------------|--|--|
| 1. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 2. | 2022      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 3. | 2023      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 4. | 2024      | @  | @                                   | @                                      | @  |
| 5. | 2025      | @  | <u>a</u>                            | <u>@</u>                               | <u> </u>                                     |
| Gr | and Total | -  | =                                   | -                                      | -  |

<sup>@</sup>The relevant period has not been completed

## 8. Substantial Acquisition of Shares and Takeovers:

## Data for month ending November 2024 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup>Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | July, 2024     | Nil                                 | Nil  | Nil   | Nil  |
| 2. | August, 2024   | Nil                                 | Nil  | Nil   | Nil  |
| 3. | September,2024 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | October, 2024  | Nil                                 | Nil  | Nil   | Nil  |
| 5. | November, 2024 | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

 $<sup>{\</sup>it *Inclusive of complaints of previous months resolved in the current month.}$ 

#Inclusive of complaints pending as on the last day of the month.

| SN | Year      | Carried<br>forward from<br>previous year | Received during<br>the particular year | Resolved during<br>the particular year | Pending at the end of the particular year |
|----|-----------|--|--|--|---|
| 1. | 2021      | Nil                                      | Nil                                    | Nil                                    | Nil                                       |
| 2. | 2022      | Nil                                      | Nil                                    | Nil                                    | Nil                                       |
| 3. | 2023      | Nil                                      | Nil                                    | Nil                                    | Nil                                       |
| 4. | 2024      | @  | @                                      | @                                      | @   |
| 5. | 2025      | @  | @                                      | @                                      | @   |
| Gr | and Total | -  | -                                      | -                                      | <u>-</u>                                  |

<sup>@</sup>The relevant period has not been completed

#### 9. Private Placement of Non-Convertible Securities

#### Data for month ending November 2024 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | July, 2024     | Nil                                 | Nil  | Nil   | Nil  |
| 2. | August, 2024   | Nil                                 | Nil  | Nil   | Nil  |
| 3. | September,2024 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | October, 2024  | Nil                                 | Nil  | Nil   | Nil  |
| 5. | November, 2024 | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

# Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|-------------------------------------|-------------------------------------|--|
| 1. | 2021      | Nil                                      | Nil                                 | Nil                                 | Nil  |
| 2. | 2022      | Nil                                      | Nil                                 | Nil                                 | Nil  |
| 3. | 2023      | Nil                                      | Nil                                 | Nil                                 | Nil  |
| 4. | 2024      | @  | @                                   | @                                   | @  |
| 5. | 2025      | @  | @                                   | @                                   | @  |
| Gr | and Total | -  | -                                   | -                                   | -  |

@The relevant period has not been completed

# 10. Public Issue of Non-Convertible Redeemable Preference Shares (NCRPS)

# Data for month ending November 2024 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved During the particular month* | Total pending During the particular month # | Pending<br>complaints<br>> 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|---------------------------------------|---|------------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil                                   | Nil   | Nil                                | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | July, 2024     | Nil                                 | Nil  | Nil   | Nil  |
| 2. | August, 2024   | Nil                                 | Nil  | Nil   | Nil  |
| 3. | September,2024 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | October, 2024  | Nil                                 | Nil  | Nil   | Nil  |
| 5. | November, 2024 | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

 ${\it \#Inclusive\ of\ complaints\ pending\ as\ on\ the\ last\ day\ of\ the\ month}.$ 

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|-------------------------------------|--|--|
| 1. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 2. | 2022      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 3. | 2023      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 4. | 2024      | (a)                                      | @                                   | @                                      | @  |
| 5. | 2025      | @  | @                                   | @                                      | @  |
| Gr | and Total | -  | -                                   | -                                      | <u>-</u>                                     |

 $<sup>@\</sup>mathit{The\ relevant\ period\ has\ not\ been\ completed}\\$ 

## 11. Public Issue of Debt Securities

# Data for month ending November 2024 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
|    | Grand Total                         | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

## Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | July, 2024     | Nil                                 | Nil  | Nil   | Nil  |
| 2. | August, 2024   | Nil                                 | Nil  | Nil   | Nil  |
| 3. | September,2024 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | October, 2024  | Nil                                 | Nil  | Nil   | Nil  |
| 5. | November, 2024 | Nil                                 | Nil  | Nil   | Nil  |
|    | Grand Total    | Nil                                 | Nil  | Nil   | Nil  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month. #Inclusive of complaints pending as on the last day of the month.

| SN | Year      | Carried<br>forward from<br>previous year | Received during the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|-------------------------------------|--|--|
| 1. | 2021      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 2. | 2022      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 3. | 2023      | Nil                                      | Nil                                 | Nil                                    | Nil  |
| 4. | 2024      | @  | @                                   | @                                      | @  |
| 5. | 2025      | @  | @                                   | @                                      | @  |
| Gr | and Total | -  | -                                   | -                                      | -  |

 $<sup>@\</sup>mathit{The\ relevant\ period\ has\ not\ been\ completed}\\$ 

# B. Consolidated Investor Complaint Data

## Data for month ending November 2024 is as follows:

| SN | Received From                       | Pending as<br>at the end<br>of last<br>month | Received<br>During the<br>particular<br>month | Resolved<br>During the<br>particular<br>month* | Total pending During the particular month # | Pending complaints > 1 month | Average<br>Resolution<br>time^\<br>(in days) |
|----|-------------------------------------|--|---|--|---|------------------------------|--|
| 1. | Directly from<br>Investors          | Nil  | Nil   | Nil  | Nil   | Nil                          | Nil  |
| 2. | SEBI (SCORES)                       | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
| 3. | Stock Exchanges<br>(if<br>relevant) | Nil  | 8   | 6  | 2   | Nil                          | 1  |
| 4. | Other Sources<br>(if any)           | Nil  | Nil   | Nil  | Nil   | Nil                          | Not Applicable                               |
|    | Grand Total                         | Nil  | 8   | 6  | 2   | Nil                          | 1  |

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

#### Trend of monthly disposal of complaints (For 5 months on rolling basis):

| SN | Month          | Carried forward from previous month | Received during<br>the particular<br>month | Resolved<br>during<br>the particular<br>month * | Pending at the end<br>of the particular<br>month # |
|----|----------------|-------------------------------------|--|---|--|
| 1. | July, 2024     | Nil                                 | 19   | 19  | Nil  |
| 2. | August, 2024   | Nil                                 | 4  | 4   | Nil  |
| 3. | September,2024 | Nil                                 | Nil  | Nil   | Nil  |
| 4. | October, 2024  | Nil                                 | 4  | 4   | Nil  |
| 5. | November, 2024 | Nil                                 | 8  | 6   | 2  |
|    | Grand Total    | Nil                                 | 35   | 33  | 2  |

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

| SN | Year      | Carried<br>forward from<br>previous year | Received during<br>the particular year | Resolved during<br>the particular year | Pending at the end of<br>the particular year |
|----|-----------|--|--|--|--|
| 1. | 2021      | Nil                                      | 2                                      | 2                                      | Nil  |
| 2. | 2022      | Nil                                      | 21*                                    | 21*                                    | Nil  |
| 3. | 2023      | Nil                                      | Nil                                    | Nil                                    | Nil  |
| 4. | 2024      | @  | @                                      | @                                      | @  |
| 5. | 2025      | (a)                                      | (a)                                    | @                                      | @  |
| Gr | and Total | -  | -                                      | -                                      | <del>-</del>                                 |

<sup>@</sup>The relevant period has not been completed

<sup>\*</sup>Includes two complaints dated 21.06.2022 and 24.06.2022 of Mr. Dinesh Gupta on the same subject matter.